

Objectives:

Students recognize compliance-relevant structures, processes and conflicts of interest from the perspective of the various disciplines and functions in the company. They understand the significance of attitude towards and respect for rules as a prerequisite for compliance management process and goals for compliance and observance of rules. Students learn compliance + communication/corporate identity; implementation in practical case studies/workshop.

Teaching Contents:

National + international legal basis of compliance obligations; entrepreneurial freedom of organization and responsibility, personal responsibility of managers, compliance + corporate governance in D, UK, USA, and PR China in comparison; compliance + external trade financing, role of OECD, compliance in various industries (banks, mechanical engineering, armaments, etc.), compliance management instruments (e.g. whistle blowing, amnesties, ombudsmen); compliance in complaint management + other special fields of compliance, anti-fraud management (UK Bribery Act-2010 + Guidelines 2011).